

# DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI) VOLUNTARY DOCUMENT

KNOW ALL MEN BY THESE PRESENTS THAT,

(1st Holder) I/We, .....S/o, D/o.....  
.....residing/having registered office at, .....

Stamp

(2nd Holder) I/We, .....  
S/o, D/o.....residing/having registered office at, .....













(3rd Holder) I/We, .....  
S/o, D/o.....residing/having registered office at, .....

**Whereas**, I/We hold Beneficial Owner Account Number 1 2 0 2 9 8 0 0.....with Central Depository Services (India) Limited (CDSL), through Depository Participant, M/s Capstocks & Securities (India) Pvt. Ltd (SEBI Reg. No. IN-DP CDSL 203 2003), registered with Securities and Exchange Board of India (SEBI), bearing DP - ID 12029800, and having its registered office at T.C 83/2956,2957,2958, Capstocks Towers, Thakaraparambu Road, Fort P.O., Thiruvananthapuram 695 023, “**CAPSTOCKS**” which expression shall, unless repugnant to the context on meaning there of, be deemed to mean and include it executors, administrators, successor’s and assigns.

**Whereas**, I/We are desirous to buy and sell securities through “**CAPSTOCKS**”, who is a Member of National Stock Exchange of India Limited (NSE), Bombay Stock Exchange Limited (BSE) and Multi Commodity Exchange of India Limited (MCX) (hereinafter referred to as “the Exchange”) bearing SEBI registration INZ000165931.

**And Whereas**, in the course of availing the services and for meeting the margin and settlement obligation thereof, on the Exchanges and in order to make the process more transparent and simpler, I/We explicitly agree “**CAPSTOCKS**” to access our BO Account, for the limited purposes, as mentioned in: Annexure - A

## Annexure - A



Sl.No	Purpose	Signature of the Client
1	Transfer of securities held in the Beneficial owner accounts of the Client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same Stock Broker	1st Holder 
		2nd Holder 
		3rd Holder 
2	Pledging / Re-pledging of securities, in favour of Trading Member (TM) / Clearing Member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	1st Holder 
		2nd Holder 
		3rd Holder 
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	1st Holder 
		2nd Holder 
		3rd Holder 
4	Tendering shares in open offers through Stock Exchange platforms	1st Holder 
		2nd Holder 
		3rd Holder 

I/We, hereby authorize “**CAPSTOCKS**” to transfer the securities from our Beneficiary Account to the given demat accounts as mentioned herein below towards delivery settlement arising out of trades executed on the Stock Exchange, Pledging / Re-pledging of securities in favour of trading member (TM) / Clearing Member (CM) towards meeting margin requirements, M.F transactions in Stock Exchange trading platforms and tendering shares in open offers through Stock Exchange platforms.

Name of the Stock Brokers and Depository Participants	Account No. of the Stock Brokers and Depository Participants	
Capstocks & Securities (India) Pvt. Ltd.	1202980000011106	CM NSE
Capstocks & Securities (India) Pvt. Ltd.	1202980000101255	CM BSE
Capstocks & Securities (India) Pvt. Ltd.	1100011000015825	NSE-EARLY PAY IN
Capstocks & Securities (India) Pvt. Ltd.	1100001000014333	BSE-EARLY PAY IN
Capstocks & Securities (India) Pvt. Ltd.	11674	CM ID-NSE
Capstocks & Securities (India) Pvt. Ltd.	3086	CM ID-BSE
Capstocks & Securities (India) Pvt. Ltd.	IN511898 10505455	CM - BP - ID - NSE
Capstocks & Securities (India) Pvt. Ltd.	IN630867 11549150	CM - BP - ID - BSE
Capstocks & Securities (India) Pvt. Ltd.	1202980000433723	Client Unpaid Securities Account (CUSA)-CDSL
Capstocks & Securities (India) Pvt. Ltd.	IN526563 16138648	Client Unpaid Securities Account (CUSA)-NSDL
Capstocks & Securities (India) Pvt. Ltd.	1202980000426338	SLBM - CM
Capstocks & Securities (India) Pvt Ltd	1202980000443267	TM/CM CMPA Client Securities Margin pledge Account
Capstocks & Securities (India) Pvt Ltd	1100002300001036	SLBM - EARLY PAY IN

I/We, hereby agree that all such acts done in my/our above mentioned accounts by “**CAPSTOCKS**” shall be deemed to be acts done by me/us. I/We further confirm that the authority granted under this Demat Debit and Pledge Instructions (DDPI) is revocable and the said revocation shall be effective from the date, the notice is received at the office of the Stock Broker / Depository Participant.

**Signed and delivered by the within named Beneficial Owner/s**

	First/ Sole Holder	Second Holder	Third Holder
Name			
Signature			

Date:

Place: